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8 UNITED STATES DISTRICT COURT  
9 CENTRAL DISTRICT OF CALIFORNIA

10 GLORIA PENA VELIZ, ) Case No. EDCV 14-0180-JPR  
11 )  
12 Plaintiff, )  
13 vs. ) **MEMORANDUM OPINION AND ORDER**  
14 ) **AFFIRMING COMMISSIONER**  
15 )  
16 CAROLYN W. COLVIN, Acting )  
Commissioner of Social )  
Security, )  
Defendant. )  
\_\_\_\_\_ )

17  
18 **I. PROCEEDINGS**

19 Plaintiff seeks review of the Commissioner's final decision  
20 denying her applications for Social Security disability insurance  
21 benefits ("DIB") and supplemental security income benefits  
22 ("SSI"). The parties consented to the jurisdiction of the  
23 undersigned U.S. Magistrate Judge under 28 U.S.C. § 636(c). This  
24 matter is before the Court on the parties' Joint Stipulation,  
25 filed October 27, 2014, which the Court has taken under  
26 submission without oral argument. For the reasons stated below,  
27 the Commissioner's decision is affirmed.  
28

1 **II. BACKGROUND**

2 Plaintiff was born on May 15, 1956. (Administrative Record  
3 ("AR") 43, 191.) She completed 10th grade and worked as a  
4 kitchen assistant/dining room attendant, cook/helper, mobile-home  
5 assembler, and housekeeper. (AR 22, 44-48, 60, 242-45, 262-67.)

6 On September 7, 2010, Plaintiff submitted applications for  
7 DIB and SSI, alleging that she had been unable to work since  
8 October 30, 2008, because of "Osteoarthritis," "Back Problems  
9 (spasms)," "Thyroid disorder," and "Claustrophobia." (AR 191-99,  
10 235.) After her applications were denied initially and on  
11 reconsideration, she requested a hearing before an Administrative  
12 Law Judge. (AR 109.) A hearing was held on June 22, 2012, at  
13 which Plaintiff, who was represented by counsel, testified, as  
14 did a vocational expert ("VE"). (AR 39-64.) In a written  
15 decision issued July 24, 2012, the ALJ found Plaintiff not  
16 disabled. (AR 13-22.) On December 9, 2013, the Appeals Council  
17 denied Plaintiff's request for review. (AR 1-4.) This action  
18 followed.

19 **III. STANDARD OF REVIEW**

20 Under 42 U.S.C. § 405(g), a district court may review the  
21 Commissioner's decision to deny benefits. The ALJ's findings and  
22 decision should be upheld if they are free of legal error and  
23 supported by substantial evidence based on the record as a whole.  
24 See id.; Richardson v. Perales, 402 U.S. 389, 401 (1971); Parra  
25 v. Astrue, 481 F.3d 742, 746 (9th Cir. 2007). Substantial  
26 evidence means such evidence as a reasonable person might accept  
27 as adequate to support a conclusion. Richardson, 402 U.S. at  
28 401; Lingenfelter v. Astrue, 504 F.3d 1028, 1035 (9th Cir. 2007).

1 It is more than a scintilla but less than a preponderance.  
2 Lingenfelter, 504 F.3d at 1035 (citing Robbins v. Soc. Sec.  
3 Admin., 466 F.3d 880, 882 (9th Cir. 2006)). To determine whether  
4 substantial evidence supports a finding, the reviewing court  
5 "must review the administrative record as a whole, weighing both  
6 the evidence that supports and the evidence that detracts from  
7 the Commissioner's conclusion." Reddick v. Chater, 157 F.3d 715,  
8 720 (9th Cir. 1996). "If the evidence can reasonably support  
9 either affirming or reversing," the reviewing court "may not  
10 substitute its judgment" for that of the Commissioner. Id. at  
11 720-21.

#### 12 **IV. THE EVALUATION OF DISABILITY**

13 People are "disabled" for purposes of receiving Social  
14 Security benefits if they are unable to engage in any substantial  
15 gainful activity owing to a physical or mental impairment that is  
16 expected to result in death or which has lasted, or is expected  
17 to last, for a continuous period of at least 12 months. 42  
18 U.S.C. § 423(d)(1)(A); Drouin v. Sullivan, 966 F.2d 1255, 1257  
19 (9th Cir. 1992).

##### 20 **A. The Five-Step Evaluation Process**

21 An ALJ follows a five-step sequential evaluation process to  
22 assess whether someone is disabled. 20 C.F.R. §§ 404.1520(a)(4),  
23 416.920(a)(4); Lester v. Chater, 81 F.3d 821, 828 n.5 (9th Cir.  
24 1995) (as amended Apr. 9, 1996). In the first step, the  
25 Commissioner must determine whether the claimant is currently  
26 engaged in substantial gainful activity; if so, the claimant is  
27 not disabled and the claim must be denied. §§ 404.1520(a)(4)(i),  
28 416.920(a)(4)(i). If the claimant is not engaged in substantial

1 gainful activity, the second step requires the Commissioner to  
2 determine whether the claimant has a "severe" impairment or  
3 combination of impairments significantly limiting her ability to  
4 do basic work activities; if not, a finding of not disabled is  
5 made and the claim must be denied. §§ 404.1520(a)(4)(ii),  
6 416.920(a)(4)(ii). If the claimant has a "severe" impairment or  
7 combination of impairments, the third step requires the  
8 Commissioner to determine whether the impairment or combination  
9 of impairments meets or equals an impairment in the Listing of  
10 Impairments ("Listing") set forth at 20 C.F.R., Part 404, Subpart  
11 P, Appendix 1; if so, disability is conclusively presumed and  
12 benefits are awarded. §§ 404.1520(a)(4)(iii), 416.920(a)(4)(iv).

13 If the claimant's impairment or combination of impairments  
14 does not meet or equal one in the Listing, the fourth step  
15 requires the Commissioner to determine whether the claimant has  
16 sufficient residual functional capacity ("RFC")<sup>1</sup> to perform her  
17 past work; if so, she is not disabled and the claim must be  
18 denied. §§ 404.1520(a)(4)(iv), 416.920(a)(4)(iv). The claimant  
19 has the burden of proving she is unable to perform past relevant  
20 work. Drouin, 966 F.2d at 1257. If the claimant meets that  
21 burden, a prima facie case of disability is established. Id. If  
22 that happens or if the claimant has no past relevant work, the  
23 Commissioner then bears the burden of establishing that the  
24 claimant is not disabled because she can perform other  
25 substantial gainful work available in the national economy.

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27 <sup>1</sup> RFC is what a claimant can do despite existing exertional  
28 and nonexertional limitations. §§ 404.1545, 416.945; see Cooper  
v. Sullivan, 880 F.2d 1152, 1155 n.5 (9th Cir. 1989).

1 §§ 404.1520(a)(4)(v), 416.920(a)(4)(v). That determination  
2 comprises the fifth and final step in the sequential analysis.  
3 §§ 404.1520(a)(4), 416.920(a)(4); Lester, 81 F.3d at 828 n.5;  
4 Drouin, 966 F.2d at 1257.

5 B. The ALJ's Application of the Five-Step Process

6 At step one, the ALJ found that Plaintiff had not engaged in  
7 substantial gainful activity since October 30, 2008, the alleged  
8 onset date. (AR 15.) At step two, she concluded that Plaintiff  
9 had the severe impairments of "osteoarthritis of the hands, feet,  
10 back, and left hip; hypothyroidism; vertigo; and obesity." (Id.)  
11 At step three, the ALJ determined that Plaintiff's impairments  
12 did not meet or equal any of the impairments in the Listing. (AR  
13 17.) At step four, she found that Plaintiff had the RFC to  
14 perform light work:

15 the claimant can lift and/or carry 20 pounds occasionally  
16 and ten pounds frequently; she can stand and/or walk for  
17 six hours out of an eight-hour workday with regular  
18 breaks; she can sit for six hours out of an eight-hour  
19 workday with regular breaks; she is unlimited with  
20 respect to pushing and/or pulling, other than as  
21 indicated for lifting and/or carrying; she can  
22 occasionally bend, stoop, climb stairs, and balance; she  
23 can rarely kneel, squat, crouch, or crawl; she is  
24 precluded from climbing ladders, ropes, or scaffolds; she  
25 is limited to frequent bilateral fine and gross  
26 manipulation; she is precluded from work at unprotected  
27 heights, around moving machinery, or around other  
28 hazards; and she cannot work at extreme temperatures.

1 (Id.) Based on the VE's testimony, the ALJ concluded that  
2 Plaintiff could perform her past relevant work as a housekeeper.  
3 (AR 21.) Accordingly, she found Plaintiff not disabled. (AR  
4 22.)

## 5 **V. DISCUSSION**

6 Plaintiff contends that the ALJ erred in finding that she  
7 could perform her past relevant work and in failing to fully  
8 develop the record. (J. Stip. at 4.) Remand is not warranted on  
9 either basis.

### 10 A. Any Error in Finding That Plaintiff Could Perform Her 11 Past Relevant Work Was Harmless

12 Plaintiff contends that the ALJ erred in finding that  
13 Plaintiff's RFC would permit her to perform her past relevant  
14 work as a housekeeper. (J. Stip. at 4-8, 10-12); see DOT  
15 323.687-014, available at 1991 WL 672783.

#### 16 1. Applicable law

17 At step four, the claimant has the burden of showing that  
18 she can no longer perform her past relevant work. Pinto v.  
19 Massanari, 249 F.3d 840, 844 (9th Cir. 2001); §§ 404.1520(e),  
20 416.920(e). She "has the burden of proving an inability to  
21 return to [her] former type of work and not just to [her] former  
22 job." Villa v. Heckler, 797 F.2d 794, 798 (9th Cir. 1986)  
23 (emphasis in original). Although the burden lies with the  
24 claimant at step four, the ALJ still has a duty to make the  
25 requisite factual findings to support her conclusion. Pinto, 249  
26 F.3d at 844 (citing SSR 82-62, 1982 WL 31386 (Jan. 1, 1982)).  
27 "This is done by looking at the residual functional capacity and  
28 the physical and mental demands of the claimant's past relevant

1 work." Id. at 844-45 (internal quotation marks omitted); see  
2 also §§ 404.1520(f), 416.920(f). If the ALJ properly determines  
3 that the claimant can perform either the actual functional  
4 demands and job duties of a particular past relevant job or the  
5 job as generally performed in the national economy, the claimant  
6 is not disabled. Pinto, 249 F.3d at 845; §§ 404.1520(f),  
7 416.920(f).

8           2. Relevant background

9           The ALJ presented to the VE a hypothetical individual with  
10 Plaintiff's light-work RFC, including a restriction to only  
11 "rarely kneeling, squatting, crouching, [and] crawling," and the  
12 VE testified that such an individual could perform only one of  
13 Plaintiff's past relevant jobs, housekeeper. (AR 61; see AR 17.)  
14 The ALJ presented a second hypothetical with the same  
15 restrictions, except that the individual's capacity for fine and  
16 gross manipulation with her hands was reduced from frequent to  
17 only occasional. (AR 61.) The VE testified that such a person  
18 could not work as a housekeeper but could perform other jobs,  
19 including usher, DOT 344.677-014, available at 1991 WL 672865;  
20 ticket taker, DOT 344.667-010, available at 1991 WL 672863; and  
21 counter clerk, DOT 249.366-010, available at 1991 WL 672323. (AR  
22 61.) The VE testified that each of the positions required only  
23 occasional reaching, handling, and fingering.<sup>2</sup> (Id.) The ALJ  
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25           <sup>2</sup> According to the DOT, a ticket-taker must be capable of  
26 only occasional fingering but frequent reaching and handling.  
27 1991 WL 672863. Because both the hypothetical and Plaintiff's  
28 RFC included limitations only as to fine and gross manipulation  
(AR 17, 61), the VE's mischaracterization of the reaching and  
handling demands of the ticket-taker position was irrelevant.

1 presented a third hypothetical that was the same as the first  
2 except that the individual could lift and carry only 10 pounds  
3 and stand and walk for only 30 minutes at a time. (AR 62.) The  
4 VE testified that although such a person could not perform the  
5 jobs of usher, ticket taker, and counter clerk, she could perform  
6 other jobs, such as assembler, DOT 706.684-030, available at 1991  
7 WL 679052, which is a sedentary position. (AR 62-63.) The VE  
8 further testified that combining the second and third  
9 hypotheticals - such that an individual could only occasionally  
10 reach, handle, and finger; could lift and carry only 10 pounds;  
11 and could stand and walk for only 30 minutes - would limit the  
12 individual to only sedentary work. (AR 63.)

13 The VE stated that her testimony was consistent with the  
14 DOT, and Plaintiff's counsel asked no questions of the VE. (Id.)

### 15 3. Analysis

16 Plaintiff contends that the ALJ erred in accepting the VE's  
17 testimony that an individual limited to light work and "rarely"  
18 kneeling, squatting, crouching, or crawling would be capable of  
19 Plaintiff's past work as a housekeeper. (J. Stip. at 6.)  
20 Plaintiff notes that the job of housekeeper requires occasional  
21 kneeling and crouching (see DOT 323.687-014, 1991 WL 672783) and  
22 contends that "rarely is less than occasional[ly]." (J. Stip. at  
23 7.) Plaintiff thus contends that the VE's testimony that  
24 Plaintiff could perform her past work as a housekeeper either  
25 conflicted with or deviated from the DOT. (Id. at 8.)

26 Whether the VE's testimony conflicted with or deviated from  
27 the DOT is a close question. The VE testified that a person who  
28 could "rarely" kneel, squat, crouch, or crawl could work as a



1 housekeeper and that her testimony was consistent with the DOT.  
2 (AR 61, 63.) "Rarely" is not among the terms of art used in the  
3 DOT, and it is a word subject to common understanding and one the  
4 VE could reasonably be expected to have ably interpreted in  
5 assessing the work capacity of a person with Plaintiff's RFC.<sup>3</sup>  
6 Indeed, the DOT defines "occasionally" as existing "up to 1/3 of  
7 the time," which would necessarily include any lesser amount of  
8 time than one third. See DOT 323.687-014, 1991 WL 672783. The  
9 VE's testimony that an individual limited to light work and  
10 "rarely" kneeling, squatting, crouching, or crawling could work  
11 as a housekeeper is itself substantial evidence. See Bayliss v.  
12 Barnhart, 427 F.3d 1211, 1218 (9th Cir. 2005) (noting that "[a]  
13 VE's recognized expertise provides the necessary foundation for  
14 his or her testimony" and "no additional foundation is  
15 required"); Olquin v. Astrue, No. EDCV 11-1802-OP, 2012 WL  
16 4711775, at \*5 (C.D. Cal. Oct. 1, 2012) (same). Moreover, courts  
17 have found at step four that no conflict with the DOT existed  
18 when the DOT job description did not include a provision that was  
19 actually incompatible with the RFC the VE relied on but rather  
20 was simply silent or unclear as to one of the RFC's limitations.  
21 See, e.g., Leon v. Astrue, 830 F. Supp. 2d 844, 849-50 (C.D. Cal.  
22 2011); Megliorino v. Astrue, No. CV 11-07895 SS, 2012 WL 2847705,  
23 at \*10-11 (C.D. Cal. July 10, 2012); Alarcon v. Astrue, No.  
24 12-CV-1719-IEG (MDD), 2013 WL 1315968, at \*3-5 (S.D. Cal. Mar.

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26 <sup>3</sup> "Squatting" also does not appear in the DOT. Plaintiff  
27 does not challenge the VE's testimony on the ground that the  
28 ALJ's hypothetical referred to "squatting" rather than  
"stooping."

1 28, 2013).<sup>4</sup>

2 And although Plaintiff now contends that the use of the term  
3 "rarely" in the hypotheticals and her RFC was problematic, her  
4 counsel did not challenge it or seek clarification at the  
5 hearing, when any issue with the ALJ's language could have been  
6 addressed. See Solorzano v. Astrue, No. EDCV 11-369-PJW, 2012 WL  
7 84527, at \*6 (C.D. Cal. Jan. 10, 2012) (noting counsel's  
8 "obligation to take an active role and to raise issues that may  
9 impact the ALJ's decision while the hearing is proceeding so that  
10 they can be addressed"); Carrillo v. Astrue, No. CV 12-00282-JEM,  
11 2012 WL 4107824, at \*5-6 (C.D. Cal. Sept. 18, 2012) (rejecting  
12 challenge to step-four finding when there was no apparent  
13 conflict and counsel raised no conflict with the DOT when given  
14 opportunity to question VE).

15 On the other hand, as Plaintiff points out (J. Stip. at 7),  
16 the ALJ appears to have intended "rarely" to mean less than  
17 "occasionally," which would render it incompatible with the DOT's  
18 description of the housekeeper position. But even if the ALJ  
19 erred at step four, any error was harmless because the ALJ also  
20 elicited from the VE testimony regarding other jobs Plaintiff  
21 could perform, which required no stooping, kneeling, crouching,  
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23 <sup>4</sup> Indeed, courts have rejected claims based on conflict -  
24 even at step five - when a DOT description does not, on its face,  
25 conflict with the claimant's RFC if the VE's testimony or the  
26 tasks described by the DOT confirm that the job would accommodate  
27 the claimant's limitations. See, e.g., Guevara v. Colvin, No. CV  
28 12-01988 AGR, 2013 WL 1294388, at \*7-8 (C.D. Cal. Mar. 28, 2013);  
Huerta v. Astrue, No. EDCV 11-1868-MLG, 2012 WL 2865898, at \*2  
(C.D. Cal. July 12, 2012); McBride v. Comm'r of Soc. Sec., No.  
2:12-CV-0948-CMK, 2014 WL 788685, at \*8 (E.D. Cal. Feb. 25,  
2014).

1 or crawling. (AR 61); see DOT 344.667-010, 1991 WL 672863  
2 (ticket taker (noting that stooping, kneeling, crouching, and  
3 crawling all "not present")); DOT 249.366-010, 1991 WL 672323  
4 (counter clerk (same)); cf. Tommasetti v. Astrue, 533 F.3d 1035,  
5 1042 (9th Cir. 2008) (ALJ's step-four finding that claimant could  
6 return to past relevant work was erroneous because he relied on  
7 VE testimony that deviated from DOT and ALJ did not resolve  
8 inconsistency, but error was harmless given alternative finding  
9 at step five that claimant could perform other work in national  
10 and local economies); Reynolds v. Astrue, 252 F. App'x 161, 165  
11 (9th Cir. 2007) (ALJ's failure to make specific findings  
12 regarding demands of claimant's past relevant work and claimant's  
13 ability to meet those demands was harmless error in light of  
14 ALJ's RFC assessment and step-five determination).

15 Plaintiff is not entitled to remand on this ground.

16 B. The ALJ Had No Duty to Further Develop the Record

17 Plaintiff contends that the ALJ should have ordered a  
18 consulting examination of her to determine "the nature and  
19 extent" of her mental impairments, "with a particular emphasis on  
20 delving into her physical limitations." (J. Stip. at 15.)

21 1. Applicable law

22 In determining disability, the ALJ "must develop the record  
23 and interpret the medical evidence." Howard v. Barnhart, 341  
24 F.3d 1006, 1012 (9th Cir. 2003). Nonetheless, it remains  
25 Plaintiff's burden to produce evidence in support of her  
26 disability claims. See Mayes v. Massanari, 276 F.3d 453, 459  
27 (9th Cir. 2001). Moreover, the ALJ's duty to develop the record  
28 is triggered only when there is "ambiguous evidence or when the

1 record is inadequate to allow for proper evaluation of the  
2 evidence." Id. at 459-60. It is the plaintiff's duty to prove  
3 that she is disabled. Id. at 459; see also §§ 404.1512(a)  
4 (claimant must prove that she is disabled), 416.912(a) (same);  
5 404.1512(c) (claimant must provide medical evidence showing that  
6 she is disabled), 416.912(c) (same).

7           2. Mental impairments

8           The ALJ found that Plaintiff had established a medically  
9 determinable impairment of depression but that it did "not cause  
10 more than minimal limitation in the claimant's ability to perform  
11 basic mental work activities and is therefore nonsevere." (AR  
12 16.) The ALJ noted that Plaintiff "alleged as a result of her  
13 physical impairments she suffered from depression and anxiety,  
14 but discussed these issues as if they were in the past, denying  
15 any current problems" and "den[ying] taking any psychotropic  
16 medications at the time of the hearing." (Id.; see AR 55  
17 (Plaintiff testifying that she had suffered anxiety and  
18 depression "at the time" but no longer did and was taking no  
19 medications for those impairments).) The ALJ further noted that  
20 on March 8, 2011, psychiatrist Reynaldo Abejuela, the only  
21 mental-health specialist to examine Plaintiff, diagnosed either  
22 panic disorder or anxiety disorder with mild depression and  
23 opined that Plaintiff had "mild" difficulty in workplace  
24 functioning. (AR 16; see AR 640-41.) Dr. Abejuela's mental-  
25 status examination showed Plaintiff's cognitive functioning to be  
26 within normal limits. (AR 638; see AR 639.) And although on  
27 March 9, 2011, state-agency psychologist Susan Daugherty found  
28 some moderate (but predominantly mild) workplace limitations, the

1 ALJ gave her opinion "little weight," noting that it was not  
2 supported by any medical evidence - Plaintiff having received no  
3 mental-health treatment (see AR 637) - and conflicted with  
4 Plaintiff's own testimony (AR 16; see AR 644-45, 658). The ALJ  
5 thus found that the evidence of record showed no more than mild  
6 difficulties in activities of daily living, social functioning,  
7 and maintaining concentration, persistence, or pace and no  
8 episodes of decompensation. (AR 16); see 20 C.F.R. pt. 404,  
9 subpt. P, app. 1 § 12.00(C).

10 Plaintiff does not dispute the ALJ's finding that the  
11 evidence did not establish a severe mental impairment but instead  
12 contends that the ALJ had a duty to develop evidence of  
13 Plaintiff's mental impairments further. (See J. Stip. at 15.)  
14 It was Plaintiff's burden, however, to provide evidence that her  
15 mental impairments prevented her from doing her past relevant  
16 work. See Mayes, 276 F.3d at 459; Drouin, 966 F.2d at 1257.  
17 Plaintiff alleged claustrophobia but not depression or anxiety in  
18 her initial applications for benefits and request for  
19 reconsideration (see AR 210, 227, 235, 278, 281-82; see also AR  
20 270-71), and although she mentioned issues with panic,  
21 claustrophobia, and memory in her Adult Function Report and  
22 appellate submissions to the agency (AR 255-60, 281-82), she  
23 proffered no records showing diagnosis or treatment of mental  
24 impairments. The agency ordered a mental-status exam, which  
25 confirmed that Plaintiff's mental impairments had only mild  
26 impact on her workplace functioning. (AR 638-39.) And she  
27 testified at the hearing that she no longer had problems with  
28 depression and anxiety. (AR 55; see also AR 43 (at hearing,

1 counsel noting only physical impairments).) Thus, evidence  
2 before the ALJ established that Plaintiff suffered only mild  
3 mental-health symptoms, and the ALJ therefore had no duty to  
4 develop the record of Plaintiff's mental impairments further.  
5 Mayes, 276 F.3d at 459-60.

6 3. Physical impairments

7 Although her argument is not entirely clear, Plaintiff may  
8 also contend that the record was inadequate to permit assessment  
9 of Plaintiff's physical impairments because "no examining  
10 physician opinion exists for the period in question." (J. Stip.  
11 at 15.)

12 Although Plaintiff submitted only treatment notes, not  
13 opinions, from her treating doctors for the period following her  
14 alleged onset date (see AR 19), Dr. Sharam Jacobs performed an  
15 internal-medicine evaluation of Plaintiff on September 3, 2008,  
16 finding her capable of medium work (AR 339-43), and state-agency  
17 physicians opined initially that Plaintiff was capable of medium  
18 work but upon further development of the record that she had no  
19 severe physical impairments (AR 347, 352 (on Oct. 7, 2008,  
20 recommending medium RFC); AR 406 (on Apr. 6, 2009, affirming  
21 medium RFC); AR 635 (on Jan. 21, 2011, finding Plaintiff's  
22 physical impairments not severe); AR 683 (on June 15, 2011,  
23 affirming finding that physical impairments not severe)). The  
24 ALJ gave Dr. Jacobs's opinion "some weight" but noted that his  
25 examination "occurred just before the alleged onset date" and  
26 that later medical evidence supported "a more restrictive  
27  
28

1 limitation to light work.”<sup>5</sup> (AR 21.) The ALJ also “placed  
2 greater emphasis” on Plaintiff’s treatment records than Dr.  
3 Jacobs’s opinion because the latter was based on only a single  
4 examination of Plaintiff. (Id.) The ALJ gave “little weight” to  
5 the opinions of the state-agency doctors because they did not  
6 hear Plaintiff’s testimony, portions of which the ALJ gave “the  
7 benefit of the doubt” in finding Plaintiff capable of only light  
8 work. (Id.) It is the ALJ’s duty to determine the credibility  
9 of medical opinions and resolve conflicts in the medical  
10 evidence. Thomas, 278 F.3d at 956-57; Matney ex rel. Matney v.  
11 Sullivan, 981 F.2d 1016, 1019 (9th Cir. 1992). Here, although  
12 the doctors who opined as to Plaintiff’s level of physical  
13 impairment consistently deemed her capable of at least medium  
14 work, the ALJ, based on Plaintiff’s post-onset-date statements  
15 and treatment records, deviated from the medical-opinion evidence  
16 in Plaintiff’s favor.

17 Plaintiff does not contend that the ALJ erred in assessing  
18 the medical-opinion evidence or affording it less than  
19 significant weight, but only that she should have ordered a  
20 second physical examination of Plaintiff. (J. Stip. at 14-16.)  
21 Although Plaintiff contends that such an examination is mandated  
22 (see id. at 14), the Agency has broad discretion in determining  
23 whether to purchase a consultative examination, and may do so “to  
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25 <sup>5</sup> The ALJ found and the parties represent that Plaintiff  
26 alleged an onset date of October 30, 2008. (AR 13; J. Stip. at  
27 2.) Plaintiff’s applications reflect an onset date of October 4,  
28 2007 (see AR 191), which may have been amended following a ruling  
on previously filed applications (see AR 65-67, 71-72 (noting  
2008 filing date); AR 89 (noting October 30, 2008 onset date)).

1 try to resolve an inconsistency in the evidence or when the  
2 evidence as a whole is insufficient to support a determination"  
3 on a claimant's claim. §§ 404.1519a(b), 416.919a(b); see Reed v.  
4 Massanari, 270 F.3d 838, 842 (9th Cir. 2001); Taylor v. Astrue,  
5 386 F. App'x 629, 632-33 (9th Cir. 2010). In this case, the ALJ  
6 did not find the evidence insufficient to support a decision;  
7 rather, her review of Plaintiff's treatment records, her  
8 statements, and the medical-opinion evidence led the ALJ to  
9 determine that Plaintiff was capable of light work. (See AR 21);  
10 cf. Taylor, 386 F. App'x at 632-33 (finding no error in failure  
11 to order second consultative examination when evidence sufficient  
12 to support ALJ's determination). That no second consultative  
13 examination was ordered does not, therefore, point to a failure  
14 to develop the record.

15 Moreover, the ALJ detailed test results, diagnoses, and  
16 treatments in Plaintiff's treatment records, summarizing evidence  
17 dating to 2008 of arthritis and joint pain in Plaintiff's hands  
18 and back, kidney stones, back spasms, thyroid issues, low-back  
19 pain following a car accident, dizziness, sinus disease, cervical  
20 disc protrusions, hip pain, and obesity. (See AR 19-20.) The  
21 ALJ noted that Plaintiff's treatment records showed that she  
22 "received intermittent and inconsistent, but routine,  
23 conservative, and non-emergency treatment" for these impairments.  
24 (AR 19.) To this summary, Plaintiff objects only that the ALJ  
25 "took" "out of context" a visit at which Plaintiff received a hip  
26 injection because the ALJ included it in describing Plaintiff's  
27 conservative course of treatment but "an invasive injection"  
28 "exceed[s] the bounds of conservative treatment." (J. Stip. at



1 16 (citing AR 20, 694).)

2 It is Plaintiff who ignores the context in which she was  
3 treated by hip injection. Although she complained in November  
4 2011 that she had suffered constant hip pain for two years (AR  
5 695), Plaintiff's first report of hip pain appears to have been  
6 in July 2011, when she was advised to continue with prescription  
7 pain relief used to ease her arthritis-related back pain (AR 700-  
8 01). Plaintiff's 2011 and 2012 records show regular complaints  
9 of left-hip pain, which was exacerbated by activity and improved  
10 with rest and pain medication. (See AR 700-01 (in July 2011,  
11 Plaintiff complaining of constant, sharp pain that increased with  
12 walking and partially improved with medication, and provider  
13 recommending continued prescription pain medication, including  
14 prescription-strength naproxen), 698 (in Aug. 2011, provider  
15 continuing prescription pain medication and prescription-strength  
16 naproxen and recommending calcium and vitamin D), 699 (in Sept.  
17 2011, Plaintiff reporting that pain increased with activity but  
18 was helped by prescription pain medication and prescription-  
19 strength naproxen), 696-97 (in Oct. 2011, provider noting hip  
20 pain among complaints and prescribing continued vitamin D and  
21 calcium and ibuprofen), 694-95 (in early Nov. 2011, provider  
22 noting that Plaintiff managed hip pain with ibuprofen for two  
23 years and that pain increased with range of motion and decreased  
24 with no motion and pain medication, and providing injection that  
25 gave some pain relief), 693 (in late Nov. 2011, provider not  
26 noting any complaint of hip pain and recommending naproxen as  
27 needed), 711-12 (in Mar. 2012, treatment notes reflecting  
28 complaints including arthritis pain in hip and pain medications

1 limited to ibuprofen and naproxen).) Thus, although Plaintiff  
2 was treated by injection on November 7, 2011, later treatment  
3 notes reflect a return to pain medications available over the  
4 counter, and there is no other indication in the record that her  
5 hip pain was treated by injection.

6 Although some courts have held that injections do not  
7 constitute conservative treatment, those cases involved claimants  
8 whose pain was treated (generally ineffectively) with a series of  
9 regular injections and more invasive procedures - not a single  
10 instance of pain relief through injection. See, e.g.,  
11 Lapeirre-Gutt v. Astrue, 382 F. App'x 662, 664 (9th Cir. 2010)  
12 (treatment with narcotic pain medication, occipital nerve blocks,  
13 trigger-point injections, and cervical-fusion surgery not  
14 conservative); Christie v. Astrue, No. CV 10-3448-PJW, 2011 WL  
15 4368189, at \*4 (C.D. Cal. Sept. 16, 2011) (treatment with  
16 "steroid injections, trigger point injections, epidural shots,  
17 and cervical traction" not conservative); Samaniego v. Astrue,  
18 No. EDCV 11-865 JC, 2012 WL 254030, at \*4 (C.D. Cal. Jan. 27,  
19 2012) (treatment not conservative when claimant treated "on a  
20 continuing basis" with steroid and anesthetic "trigger point  
21 injections," occasional epidural injections, and narcotic  
22 medication and doctor recommended "significant invasive  
23 surgery"); Huerta v. Astrue, No. EDCV 07-1617-RC, 2009 WL  
24 2241797, at \*4 (C.D. Cal. July 22, 2009) (treatment by surgery  
25 and "a 'series of epidural steroid injections into [claimant's]  
26 cervical spine" not conservative). Plaintiff's single steroid  
27 injection over the course of several months, during which  
28 Plaintiff's pain was otherwise treated with prescription and

1 over-the-counter medication, does not undermine the ALJ's finding  
2 that Plaintiff's doctors otherwise provided nonurgent,  
3 conservative treatment of Plaintiff's hip pain. See Walter v.  
4 Astrue, No. EDCV 09-1569 AGR, 2011 WL 1326529, at \*3 (C.D. Cal.  
5 Apr. 6, 2011) (ALJ permissibly discounted plaintiff's credibility  
6 based on "conservative treatment," including medication, physical  
7 therapy, and single injection); Parra, 481 F.3d at 751  
8 ("[E]vidence of 'conservative treatment' is sufficient to  
9 discount a claimant's testimony regarding severity of an  
10 impairment.").

11 Nor is there any other indication in the record that  
12 Plaintiff's hip pain warranted urgent or aggressive treatment.  
13 Imaging of her left hip was unremarkable. (See AR 694 (on Nov.  
14 7, 2011, noting normal x-ray); see also AR 484 (unremarkable Mar.  
15 2010 CT scan of pelvis, taken following car accident).) And  
16 treatment notes do not reflect any doctor's recommendation that  
17 Plaintiff limit her activity because of her hip pain. The ALJ  
18 thus fairly found that Plaintiff's hip pain was not disabling.  
19 See Parra, 481 F.3d at 751.


20 It was Plaintiff's duty to proffer evidence to establish  
21 disabling physical impairments. When, as here, the ALJ has  
22 rationally found that the treatment records, medical-opinion  
23 evidence, and Plaintiff's statements do not establish a disabling  
24 impairment, the Court must affirm. See Reddick, 157 F.3d at 720-  
25 21.

26 Plaintiff is not entitled to remand on this ground.  
27  
28

1 **VI. CONCLUSION**

2 Consistent with the foregoing, and under sentence four of 42  
3 U.S.C. § 405(g),<sup>6</sup> IT IS ORDERED that judgment be entered  
4 AFFIRMING the decision of the Commissioner, DENYING Plaintiff's  
5 request for remand, and DISMISSING this action with prejudice.  
6 IT IS FURTHER ORDERED that the Clerk serve copies of this Order  
7 and the Judgment on counsel for both parties.

8  
9 DATED: April 23, 2015

  
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10 JEAN ROSENBLUTH  
11 U.S. Magistrate Judge  
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25  
26 <sup>6</sup> This sentence provides: "The [district] court shall have  
27 power to enter, upon the pleadings and transcript of the record,  
28 a judgment affirming, modifying, or reversing the decision of the  
Commissioner of Social Security, with or without remanding the  
cause for a rehearing."